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|   | Application Number  |   |  |  |
|---|---|---|--|--|
| TRANSMITTAL   | Filing Date   | October 14, 2004  |  |  |
| FORM  | First Named Inventor  | Klibanov, Alexander L.  |  |  |
| (to be used for all correspondence after initial filing)  | Art Unit  |   |  |  |
| -   | Examiner Name   |   |  |  |
| Total Number of Pages in This Submission  | Attorney Docket Number  | 22078-2   |  |  |
| ENCLOSURES (Check all that apply)   |   |   |  |  |
|   | Drawings  | After Allowance communication to Group                            |  |  |
| □ I     □ I | icensing-related Papers   | Appeal Communication to Board of Appeals and Interferences        |  |  |
| ☐ Amendment/Reply ☐ F   | Petition  | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |
| I II Affer Final II I   | Petition to Convert to a Provisional Application                  | ☐ Proprietary Information   |  |  |
| ☐ Affidavits/declaration(s) ☐ F   | Power of Attorney, Revocation<br>Change of Correspondence Address | Status Letter   |  |  |
| Extension of Time Request   | Ferminal Disclaimer   | Other Enclosure(s) (please identify below):                       |  |  |
|   | Request for Refund  | Return Receipt Postcard   |  |  |
| ☐ Information Disclosure Statement  | CD, Number of CD(s)   | Executed Oath/Declaration   |  |  |
| Certified Copy of Priority Rema   | arks  | Preliminary Amendment   |  |  |
| Document(s)   |   |   |  |  |
| Response to Missing Parts/Incomplete Application  |   |   |  |  |
| Response to Missing Parts   |   |   |  |  |
| under 37 CFR 1.52 or 1.53   |   |   |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |   |   |  |  |
| Firm or   |   |   |  |  |
| individual name Woodard, Emhardt, Morjarty, McNett & Henry LLP  |   |   |  |  |
| Date May 16, 2005   |   |   |  |  |
|   |   |   |  |  |
| CERTIFICATE OF TRANSMISSION/MAILING   |   |   |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States  Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O.   |   |   |  |  |
| Box 1450, Alexandria, VA 22313-1450 on the date shown below   |   |   |  |  |
| Typed or printed name Kenneth A. Gandy  | of h  | Deta May 40, 2005   |  |  |
| Signature  Weeneth C  This collection of information is required by 37 CFR 15. The  | Jew V.  | Date May 16, 2005   |  |  |
| This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.O. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.   |   |   |  |  |

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INTERNATIONAL APPLICATION NO.

PCT/US03/21712

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/511.383

Bank One Center/Tower Suite 3700

Woodard Emhardt Moriarty McNett & Henry

Alexander L Klibanov

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I.A. FILING DATE

07/11/2003

PRIORITY DATE

APR 2 1 2005

Woodard, Emhardt, Moriarty.

07/11/2002

McNett & Henry LLP

**CONFIRMATION NO. 5031 371 FORMALITIES LETTER** 

OC000000015732567\*

Date Mailed: 04/18/2005

111 Monument Circle

Indianapolis, IN 46204

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Preliminary Amendments filed on 10/14/2004
- Oath or Declaration filed on 10/14/2004
- Request for Immediate Examination filed on 10/14/2004
- U.S. Basic National Fees filed on 10/14/2004
- Priority Documents filed on 10/14/2004

-18-05

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/511,383                  | PCT/US03/21712                | 22078-2TARGESON  |

FORM PCT/DO/EO/905 (371 Formalities Notice)